CUSO Financial SERVICES, LP
MEMBER FINRA/SIPC

Sorrento Pacific FINANCIAL, LLC
MEMBER FINRA/SIPC

ABOUT CUSO FINANCIAL/SORRENTO PACIFIC*

200+ Programs

500+ Advisors

At A Glance

- ☑ Consistent Leadership & Growth since 1996
- ☑ Focus on Banks and Credit Unions
- ☑ Integrated Technology Platform
- ☑ Remote Delivery Suite
- ☑ Program & Rep Best Practices
- ☑ Strong Compliance Record
- ☑ Flexible Program Models

Revenue Breakdown

Recurring 44%

Transactional 56%

Ten-Year Revenue Growth

IN MILLIONS

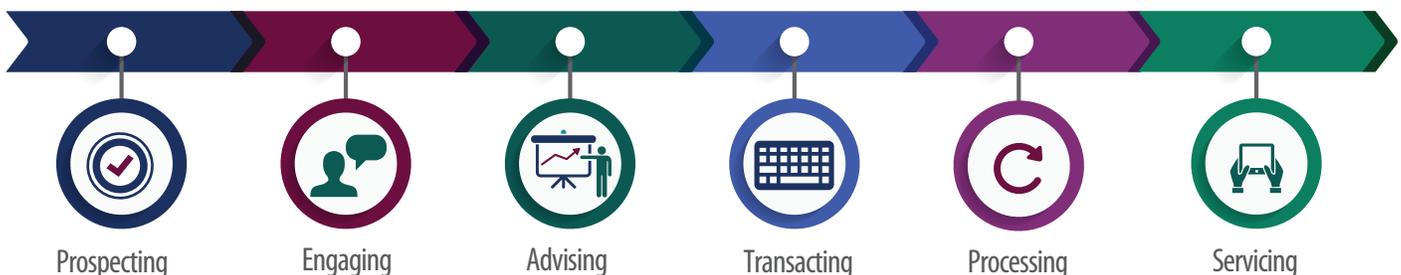| Year	Revenue
2007	$84
2008	$78
2009	$76
2010	$95
2011	$108
2012	$124
2013	$148
2014	$155
2015	$161
2016	$155
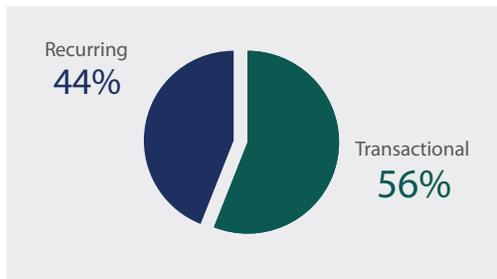
"Our program grew more than 50% a year for two years in a row under their expert guidance."

– Elevations Credit Union

Remote Delivery *Signature Services*

Your customers are mobile. Are you? We offer online & mobile tools for every step in the business process.

Prospecting · Engaging · Advising · Transacting · Processing · Servicing

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Timeline

2017
- CFS celebrates its 20th anniversary with special events all year
- MyPortfolioView™ mobile app wins BISA Technology Innovation Award

2016
- SendMyDocs™ Mobile App Won BISA Technology Innovation Award
- Gained SOC 1 Type I and Type II Certifications

2015
- BuildMyProfile™ online profiling tool won BISA Technology Innovation Award

2014
- Mobile Scanning App for advisors won BISA Tech Innovation Award
- #4 Top Third Party Marketers by Bank Investment Consultant

BISA's Circle of Excellence

2012
- New Headquarters in San Diego, CA
- Earned a spot on 100 Fastest Growing Private Companies list

2011 — $100+ Million
- CFS reached $100 million in revenues and is the broker dealer of choice for more than 150 credit unions across the U.S.

2008
- Launched the Financial Management Center (FMC), a client-facing, online referral tool

2003
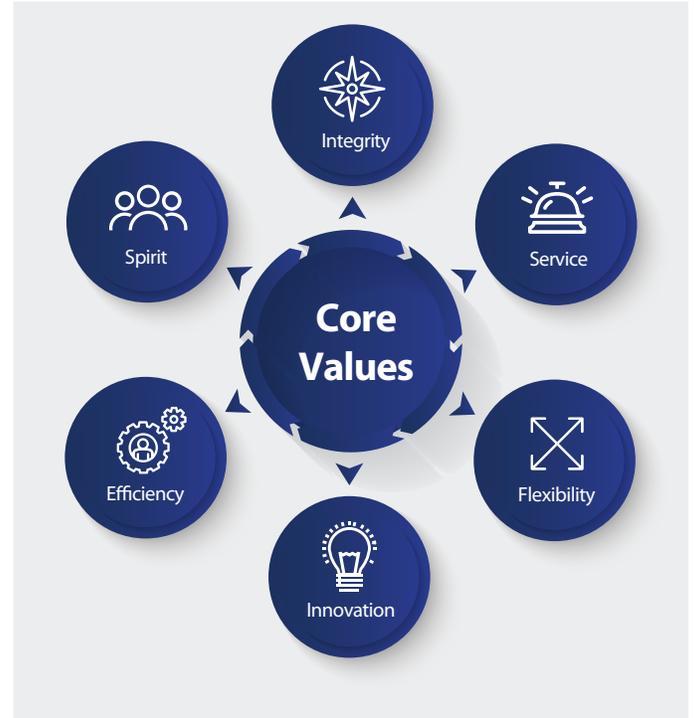- Launched Sorrento Pacific Financial

2000
- CFS aggregated all accounts into a single platform, presenting an integrated picture of AUM and revenue on a daily basis
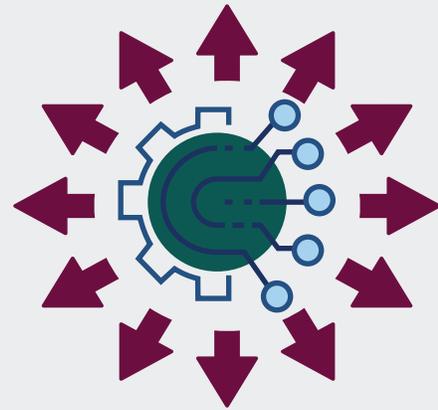
1996
- CFS was founded in November 1996

* Based on 2017 data

Core Values

- Integrity
- Service
- Flexibility
- Innovation
- Efficiency
- Spirit

Home Banking Integration

Integrate Investment Accounts in your Home Banking Systems

To learn more about CFS/SPF

✉ cfsweb@cusonet.com
☎ 858-530-4440
🌐 www.cusonet.com